Drafting the Policy of OA. The Case of the Research Council of Lithuania

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The role of the RCL in OA activities

Upon invitation of UNESCO and suggestions of the Ministry of Science and Education in 2013 the RCL became a coordinator of OA to scientific information in Lithuania

RCL and MSE represents LT in the working group on OA to scientific information of EC since 2013

In 2014 RCL became a member of knowledge network in the Baltic region within the framework of PASTEUR4OA

http://www.lmt.lt/lt/atviroji_prieiga.html

International membership of the RCL

Science Europe Working Groups

– **OA to Scientific Publications**

– **Research Data**

– **Research Infrastructures**

FP 7 project „ Open Access Policy Alignment Strategies for European Union Research **"** **PASTEUR4OA** **http://www.pasteur4oa.eu/**

aims to support European Commission's Recommendation to Member States of July 2012 that they develop and implement policies to ensure Open Access to all outputs from publicly-funded research

- **Steps to follow**

  Preparatory discussion with the committees, Science Foundation and the board of the RCL and social partners

  Regional meeting of PASTEUROA in Budapest October 29-30

  Draft Policy November 2015

  Open Consultation Dec –Jan 2016

  Approval of the policy Feb 2016

Good practices of OA policies

Open Access Guidelines for research results funded by the **ERC** 2014

Open Access policy for public research councils and foundations of **Denmark** 2012

Science Foundation **Ireland** (SFI) Policy Relating to

the Open Access Availability Of Published Research 2008

OA policy by **Australian** Research Council 2015

Policy for The Research Council of **Norway**. OA to Research Data 2014

The Research Council's Principles for Open Access to Scientific Publications (**Norway** 2014)

Tri-Agency Open Access Policy on Publications (**Canada** 2008, 2015)

Swiss National Science Foundation Guidelines on OA 2014

RCUK Position Statement on Access to Research Outputs 2005

RCUK Policy on Open Access and Supporting Guidance 2013

National Strategy of OA to Scientific Publication and Research Data in **Slovenia** 2015-2020

Lietuvos mokslo taryba

A template for a national policy to OA

- **Aims and principles**
- **The main statement of support**
- **Scope of the policy regarding**
  - **types of objects (publications and data)**
  - **forms of OA (green, golden road, hybrid)**
- **Legal aspects (reuse, licencing, etc.)**
- **Financial models of support**
- **Roles and duties of participants**
- **Compliance and monitoring**

To improve access to the results of RCL funded research, cooperation among researchers and enhanced research quality (less duplication of effort, transparency, reliability, academic integrity, effective dissemination)

To ensure better links with social partners in public and private sectors, NGO

(synergies for innovation, feedback for taxpayers, better utilization of public funding, greater efficiency in general)

Aims

- **RCL seeks to establish OA as the standard in publishing of the scientific results, the standard that is applied without any financial, technical or legal restrictions. The RCL Policy on OA aims to achieve immediate, unrestricted, on-line access to peer-reviewed and published research and related data.**

Scope of the policy – types of objects

OA to publications

any publication of a funded research such as journal articles and conference proceedings including monographs, studies, other books, (co-financed or not)

OA to publications plus related data

OA to data (with limitations)

output data generated through research and preserved in OA repositoria and other research infrastructures

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Scope of the policy – forms of OA

Green road or self-archiving/parallel publishing in an institutional repository or repository of a specific discipline, or on a personal website, as an additional step to publication in a journal obligatory

for all RCL funded peer reviewed publications

Golden road or direct publication in a purely Open Access journal

Hybrid Open Access or a release for Open Access of a publication already published in a journal for a fee

Search for and re-use the content of published papers both manually and using automated tools (such as those for text and data mining) provided that any such re-use is subject to full and proper attribution and does not infringe any copyrights to third-party material included in the paper.

Other legal aspects

Timing

> Publication on open access should not preclude prior publication in a recognized research journal or commercial publication. Embargo periods as forseen by EC

Copyright

Publisher's copyright, licensing and embargo policies must be respected. The protection of intellectual property, in any form, arising from the publication takes precedence over open access depositing

Financial model of support

- **Results of research is free of charge for the end user**

- **RCL covers OA publishing (APC) as eligible project expences during the transition and includes them into overheads after it**

- **RCL requires data management plans as a part of a project and special policies for the later long term curation**

The role of researchers

To lodge their publications resulting in whole or in part from the funded research in an open access repository as soon as possible after publication or after an embargo period (6/12/24 months for different disciplines, genres)

– **to deposit the publisher's version, if permitted, or the author's final version.**

– **to provide a link from the deposited version to the publication site, and also that the DOI (Document Object Identifier)**

– **to support research data wherever possible**

– **to provide metadata**

To inform the RCL if the OA commitment will only be fulfilled at a later stage or not at all

To report on the fulfillment of the OA commitment

- **To draft the OA policy and to get it approved**

- **To adapt the RCL legislation and procedures to comply with it**

- **To finance the OA of publications**

- **To monitor the implementation of the and to make changes to it if necessary**

- **To coordinate the process nationally and to represent LT internationally**

Compliance and monitoring

The degree of flexibility in implementation of its policy allowed

– the length of embargo periods, during transition

– negotiations with the publishers

– waiving of OA demand in special cases

Evidence-based review of the implementation and subsequent periodical reviews required

The RCL has the right to withhold funding if OA policy is ignored

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All researchers and their institutions, regardless of the source of their funding support, are encouraged to adhere to this policy

Thanks