



# The Open Access Policy of FCT - Fundação para a Ciência e a Tecnologia

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## Agenda

- FCT Fundação para a Ciência e Tecnologia Open Access Policy
  - Context
  - Content
  - Implementation, compliance and monitoring

#### Disclaimer

Several slides on this presentation (with the FCT template) were originally authored and presented by Vasco Vaz, from FCT, at the PASTEUR4OA Southwest Region Research Funders Meeting, Madrid, 28 of September 2015

# FCT OA Policy - Context

- Relevant national initiatives on Open Access, especially since 2008
- International/European initiatives and policies on Open Access – especially the H2020 mandate and the <u>EC</u> <u>Recommendation</u>.
- The FCT Policies on Open Access are a direct outcome of a public consultation held in 2013

# FCT OA Policy - Context

 Open Access policy based on an repository deposit approach and in line with EC recommendations

 Integral to this move is the existence of a well-established open access repository infrastructure: Repositório Científico de Acesso Aberto de Portugal (RCAAP)

The policy came into force on 5 May 2014

# FCT OA Policy – The content



### A. Mandated Green Open Access

 All beneficiaries are required to deposit and ensure Open Access to the publications arising from FCT-funded research

 Deposit of the publication in one of RCAAP's repositories is always required, regardless of whether the publication is being published on an Open Access publication outlet or not



### A. Mandated Green Open Access

#### 1) Concerned publications

A final peer-reviewed manuscript accepted for publication:

- a) final manuscript of a peer-reviewed paper accepted for journal publication (or equivalent), including all modifications from the peer review process, but not yet formatted by the publisher;
- b) books, book chapters and monographs subject to a peer-review process or other types of scientific scrutiny;
- c) doctoral theses

#### 2) Where to deposit

Any repository affiliated in the RCAAP network of repositories, which usually is the Institutional repository of the research institution with which the beneficiary is affiliated



#### A. Mandated Green Open Access

#### 3) When to deposit

Each beneficiary must deposit as soon as possible and at the latest on publication

#### 4) Timeliness of Open Access to the deposited publication

Each beneficiary must ensure Open Access to the deposited publication — via the repository — within 6 months of publication (12 months for publications in the social sciences and humanities); Open Access to books, book chapters and monographs must be ensured within 18 months of publication; Open Access to doctoral theses must be ensured within 36 months of the PhD degree award



### B. Open Access publishing

Costs of Open Access publishing, such as Article Processing Charges, are eligible for refund as research costs, within the remit of the funding granted and during the duration of the research project

#### 1) Cost eligibility requirements

- a) Open Access is immediate and no embargo is allowed
- b) The publication post-print must be given permission to be deposited in repositories other than the publisher's own repository
- c) The publication's full content is attributed a Creative Commons CC-BY license (or equivalent). No restriction to its access or re-use is allowed
- d) There will be a cap for the APC amount, to be determined in accordance with international Open Access policies best practices



#### D. Other issues to consider

#### 1) Copyright

In all cases, the authors are encouraged to retain their copyright and grant adequate licenses to publishers. Licensing the publication with a Creative Commons CC-BY license is recommended except where APC's are to be refunded by FCT, in which case it is mandatory

#### 2) Bibliographic Metadata

At the moment of deposit, the following data must be made immediately available

- All bibliographic metadata of the publication required by the RCAAAP repository
- ii. Indication of the embargo period to be applied to the publication's content, if existent



#### D. Other issues to consider

#### 3) Research Data

Publications must reference its underlying research data and these should be made available to other researchers whenever requested, provided that all legal requirements are met

# FCT OA Policy – Implementation, compliance and monitoring

## FCT OA Policy - Implementation

- 2014-2015 Preparation and initial implementation
  - Revised Grant Agreements
  - Preparation of infrastructure
  - Preparation of support materials/services
- 2015 2017 Phase I
  - Real implementation
  - Collecting data on compliance, embargos and APCs
  - Monitor international evolution
  - Revise policy (Second semester 2017)
- 2018 ... Phase II

## FCT OA Policy - Implementation

- Infrastructure
  - RCAAP Network Individual repositories and RCAAP Portal
- Support services and materials
  - Helpdesk (FCT+RCAAP Network)
  - "Decision Tree", FAQs and Tutorials
  - Presentations, Webinars, Elearning, etc.

# FCT OA Policy - Compliance and Monitoring

Through RCAAP Network



#### Overview

#### Repositories

• Deposit

#### Search Portal

Harvesting

**API** 

#### FCT

Monitoring

**Validator** 



## **REPOSITORIES**



# Funding identification - FCT Project/Grant

Using OpenAIRE Project List Addon



### OpenAIRE Project List Addon

- Use of the OpenAIRE API (<a href="http://api.openaire">http://api.openaire</a>)
- Addon DSpace to sync all configured funders (EU; WT; FCT)

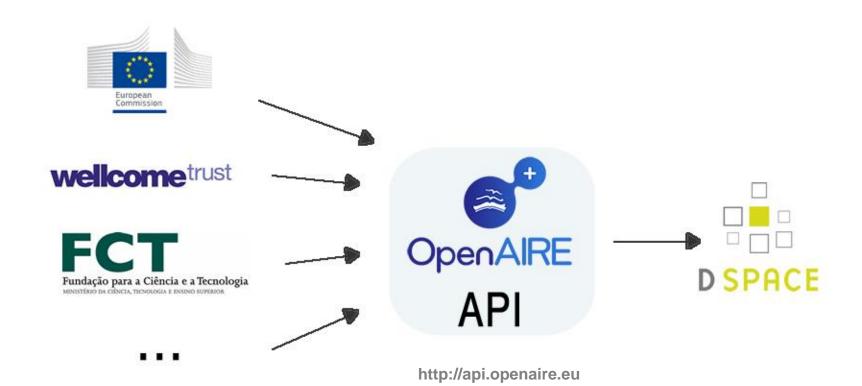
Available for DSpace 3.x and 5.x:

https://gitlab.fccn.pt/dev-rcaap/addon-openaire

Easily configurable with other funders.



## **Dspace Addon**



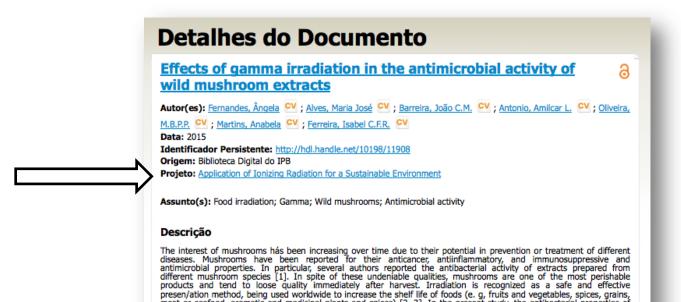


### RCAAP PORTAL



### Adding project information

 Each record on RCAAP Portal will display the associated funding (linking to a project page).





## Project Page

- Each FCT project has a specific page in the RCAAP Portal with:
  - Funding information
  - Publications
  - Link to the project page on FCT system



Repositónio Científico de Acesso Aberco de Portugal



HOME

PESOUISA AVANCADA

DIRETÓRIO

FINANCIAMENTO

AJUDA



#### Detalhes do projeto/bolsa

#### Portuguese Wild Mushrooms: Chemical characterization and functional study of antiproliferative and proapoptotic properties in cancer cell lines

- ID do projeto/bolsa 110062
- Referência PTDC/AGR-ALI/110062/2009
- FundRef URI
- http://www.fct.pt/apolos/projectos/consulta/vglobal\_proj
- idProjecto=110062&idElemConcurso=3734

- Financiador
- FCT Fundação para a Ciência e a Tecnologia, I.P.
- País do financiador
- Portugal
- Programa de financiamento
- 5876-PPCDTI
- Valor financiado 169,329,00 €

- Data de inicio 2010-12-24
- Data de fim 2013-12-23
- Data de adjudicação 2010-12-22

#### Documentos







#### Biomolecule profiles in inedible wild mushrooms with antioxidant value

Reis, Filipa S.; Pereira, Eliana; Barros, Lillian; Sousa, Maria João; Martins, Anabela; Ferreira, Isabel C.F.R.

The use of natural products isolated from mushrooms, included ineclible species, against infection, cancer diseases and other oxidative-stress related diseases is one of the cornerstones of modern medicine. In the present work, the antioxidant molecule profiles of inedible mushroom species were evaluated and compared with those of edible species. The order of antioxidant abundance found in inedible wild mushroom...

Data: 2011 | Origem: Biblioteca Digital do IPB

Mais info.

#### Relatório

Crie um relatório com todas as publicações do projeto ou bolsa na data atual para o relatório científico da FCT.

D Gerar relatório

#### Incorporar

Incorpore dinamicamente os documentos do projeto no seu site (HTML)

Towards the antioxidant and chemical characterization of mycorrhizal mushrooms ...

Mushrooms are widely appreciated all over the world for their nutritional properties and pharmacological value as sources of important bloadtive

Reis, Filipa S.; Heleno, Sandrina A.; Barros, Lillian; Sousa, Maria João; Martins, Anabela; Santos-Buelga, Celestino; Ferreira, Isabel RCAAP - Repositório Científico de Acesso Aberto de Perfector sur type activitator

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document.wine("div id="teaup-widget"></div=');

resource documents&project PCT+967C+5876-



## Project Toolbox

- Allowing reuse of the data available on the RCAAP Portal:
  - Widget (integrating publication list on Website(s))
  - Publication lists for FCT reporting (HTML; CSV;...)



Lista de publicações

#### Report for FCT

- Static Report identifying publications
   from the project at a
   certain moment in time
- Automatically produced by RCAAP based on deposited publications
- The official report of project publications to FCT

#### Portuguese Wild Mushrooms: Chemical characterization and functional study of antiproliferative and proapoptotic properties in cancer cell lines

A presente lista de publicações foi gerada automaticamente pelo Portal RCAAP em 2015-09-23 16:17:04. Esta lista contém todas as publicações depositadas no(s) repositarior(s) da rede RCAAP às quais tenha sido atribuído o identificador do financiamento: info:eurepolgrantAgreement/FCT/5878-PPCDTI/10062.

Esta lista não é atualizada automaticamente e refere-se apenas aos conteúdos identificados até à data da sua geração. Para cumprir com as obrigações decorrentes da política de acesso aberto da Fundação para a Ciência e a Tecnologia, por favor referencie este link nos relatórios científicos requeridos por esta agência financiadora.

Nome do projeto	<ul> <li>Portuguese Wild Mushrooms: Chemical characterization and functional study of antiproliferative proapoptotic properties in cancer cell lines</li> </ul>				
Financiador	FCT - Fundação para a Ciência e a Tecnologia, I.P.				
Programa de financiamento	5878-PPCDTI				
ID do projeto	110062				
Referência	PTDC/AGR-ALI/110082/2009				
FundRef URI	http://www.fct.pt/apoios/projectos/consulta/vglobal_projecto.phtml.en? idProjecto=110082&idElemConcurso=3734				
Data de início	2010-12-24				
Data de fim	2013-12-23				
Valor financiado	169.329.00 €				

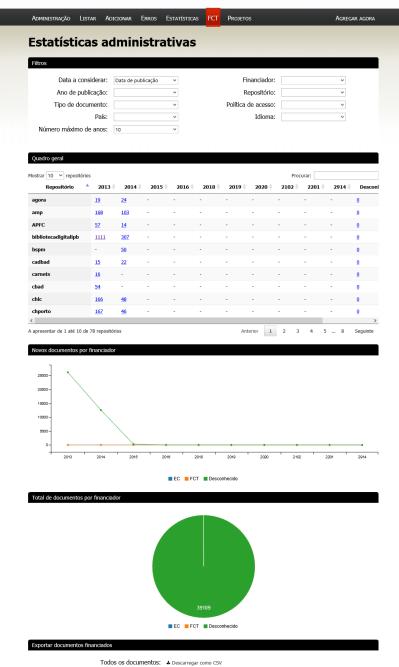
Sumário	
Data do relatório	2015-09-23 15:17:04
Número de documentos	10
Documentos por repositório	
bibliotecadigitalipb	86
Documentos por tipo de documento	
masterThesis	3
article	19
book	1
conferenceObject	43
Documentos por tipo de accesso	
openAccess	88

Documentos							
1as. Jornadas de jovens investigadores da Escola Superior Agrária do Instituto Politécnico de Bragança	Teixeira, Amiloar (Org.); Martins, Anabela (Org.); Ferreira, Isabel C.F.R. (Org.); Azevedo, João (Org.); Barbosa, José Carlos (Org.); Dias, L.G. (Org.); Rodrigues, M.A. (Org.)	openAccess Livro	2011	bibliotecadigitalipb	http://hdl.handle.net 10198/9374		
Biomolecule profiles in inedible wild mushrooms with antioxidant value	Reis, Filipa S.; Pereira, Eliana; Barros, Lillian; Sousa, Maria João; Martins, Anabela;	openAccess Artigo	2011	bibliotecadigitalipb	http://hdl.handle.net 10198/6033		

### Monitoring

 Statistcs and indicators for Funder/funding





30-10-2015 RCAAP - Reposi

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Abenco de Porcugal



Universidade do Minho

# Thank you

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