Best Practice in OA Policy Alignment

Dr Paul Ayris

Director of UCL Library Services and UCL Copyright Officer
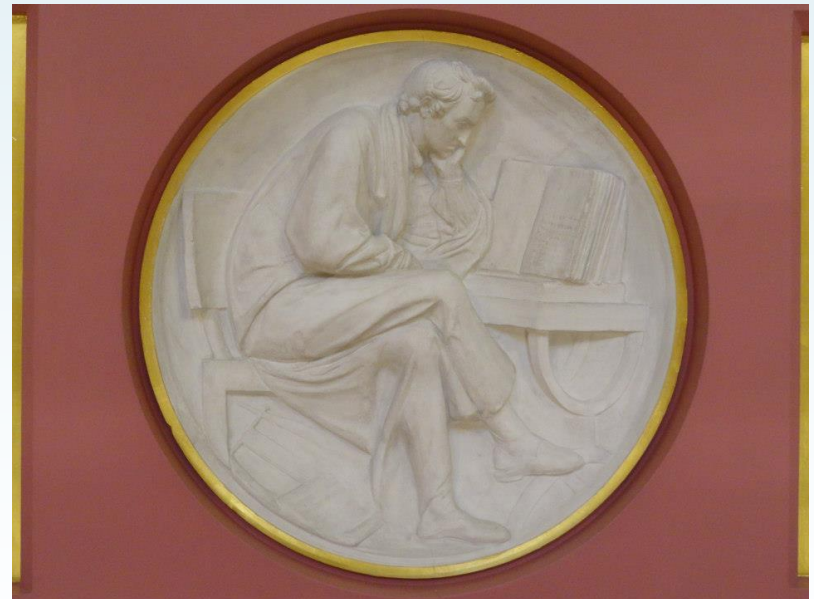Chief Executive, UCL Press
Adviser to the LIBER Board (Association of European Research Libraries) on EU matters and Horizon 2020
Co-Chair, LERU Chief Information Officer Community
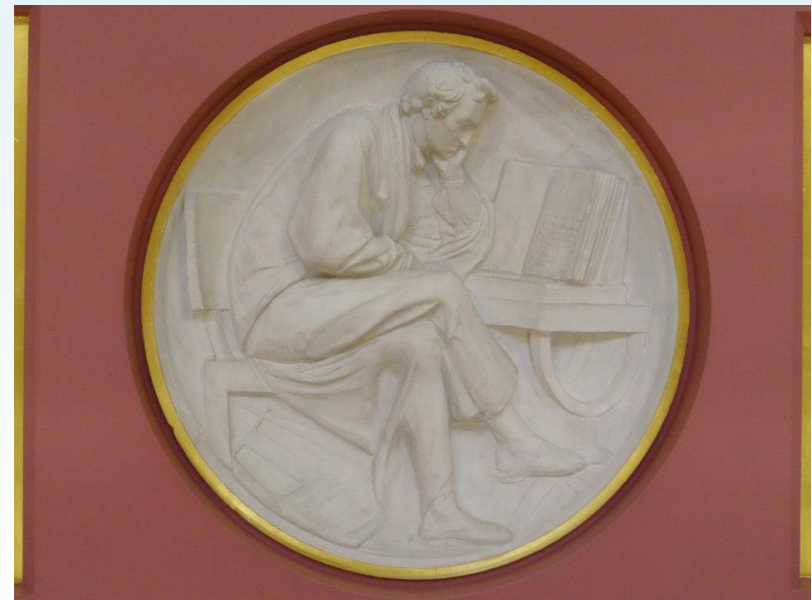e-mail: p.ayris@ucl.ac.uk

Content

- ❑ UK policy position(s)
- ❑ Implementation
- ❑ OA model for Open Science?
- ❑ Conclusions

Plaster Relief by John Flaxman, Flaxman Gallery, UCL

Content

- ☐ UK policy position(s)
- ☐ Implementation
- ☐ OA model for Open Science?
- ☐ Conclusions

Plaster Relief by John Flaxman, Flaxman Gallery, UCL

National OA Policy?

- ❑ Wellcome Trust and associated charities work together on Open Access
- ❑ COAF – Charity Open Access Fund
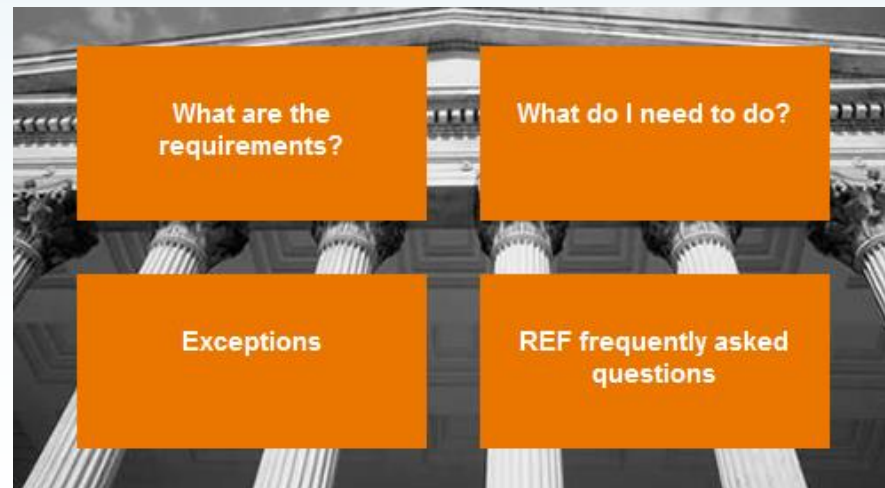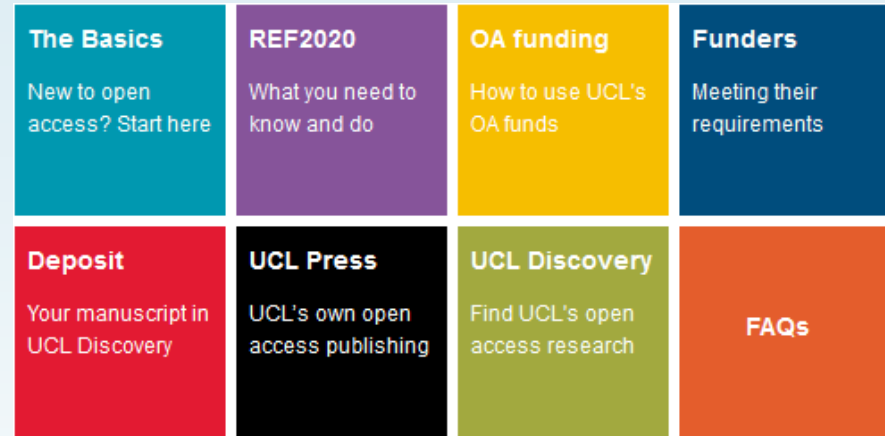- ❑ Will provide single block grants to 36 universities to pay for Gold OA APCs resulting from research they fund

Research Councils UK

- ❑ OA policy favours Gold OA as way forward
- ❑ Mirrors Finch Report in this respect
- ❑ RCUK will pay block grants to universities for set proportions of their funded research outputs to be available as OA outputs
- ❑ Applies to journal articles and conference proceedings, **not** monographs;
- ❑ CC-BY licence to be attached to outputs
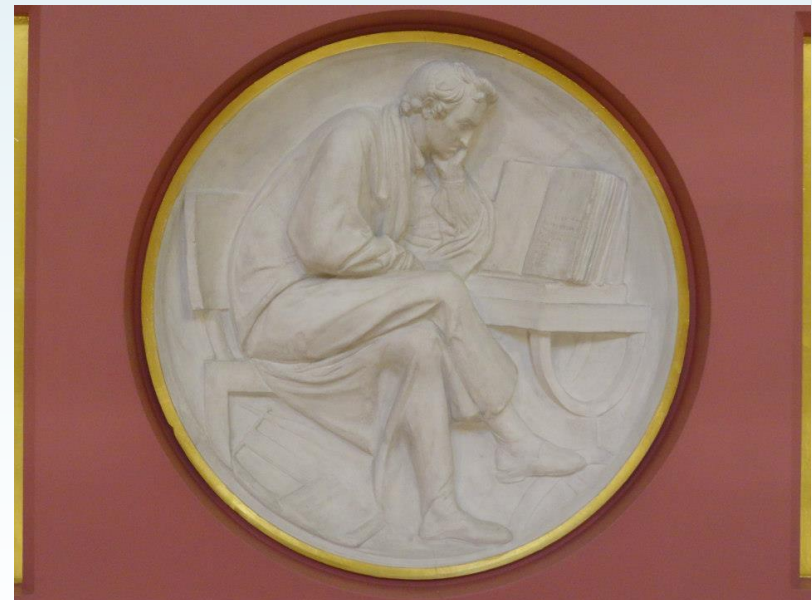- ❑ Independent Review of the RCUK policy now published

5

HEFCE REF 2020 requirement

- ❑ 4 units of assessment from each researcher
- ❑ Deposit on publication from April 2016; on acceptance from April 2017; within 3 months
- ❑ Articles, Conference Proceedings not books
- ❑ See http://www.hefce.ac.uk/pubs/year/2014/201407/

Content

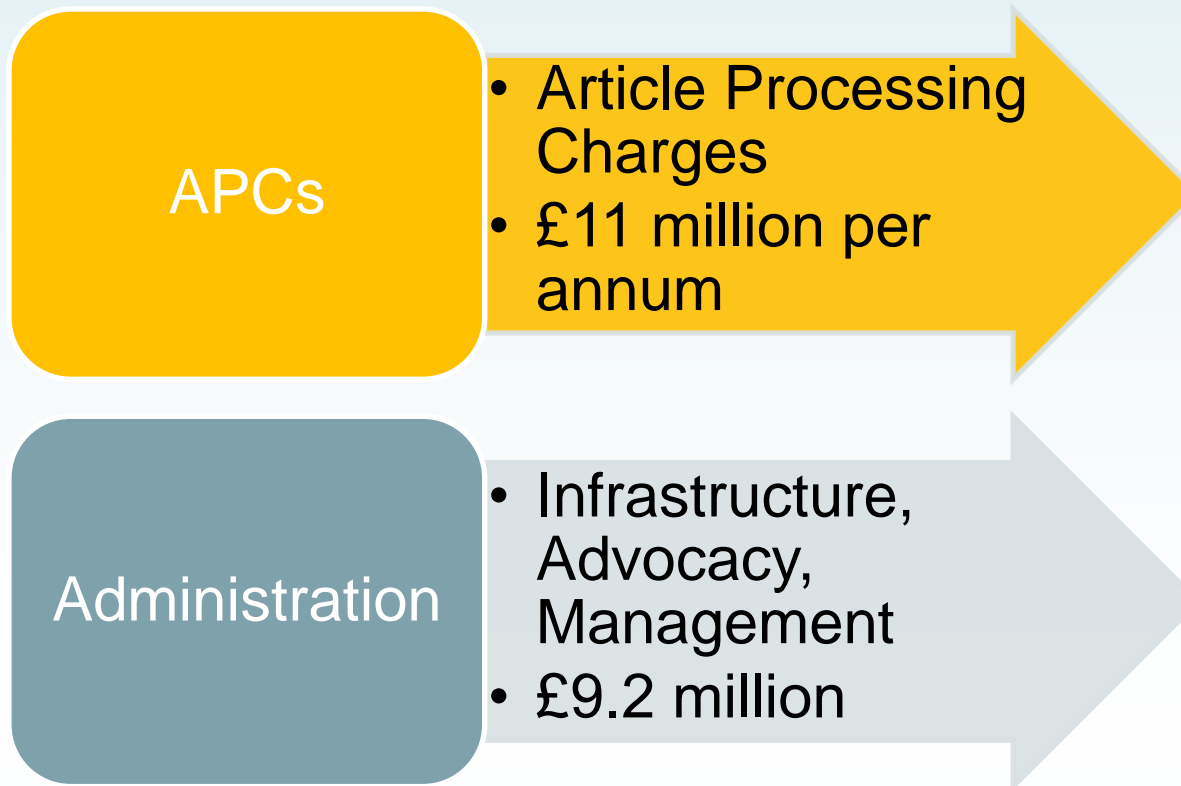☐ UK policy position(s)

☑ Implementation

☐ OA model for Open Science?

☐ Conclusions

Plaster Relief by John Flaxman, Flaxman Gallery, UCL

Funding for OA in the UK: Costs of Implementation for RCUK

❑ Research Consulting undertook a study of the costs of OA implementation

APCs
- Article Processing Charges
- £11 million per annum

Administration
- Infrastructure, Advocacy, Management
- £9.2 million

Funding for OA in the UK:
Costs of Implementation for HEFCE REF mandate

Costs of Implementation

- £4 million - £5 million
- Administration - £9.2 million

Costs of Gold OA administration

- £81 per article
- 1 extra FTE needed for every 500 APCs

Costs of Green OA

- £33 per article
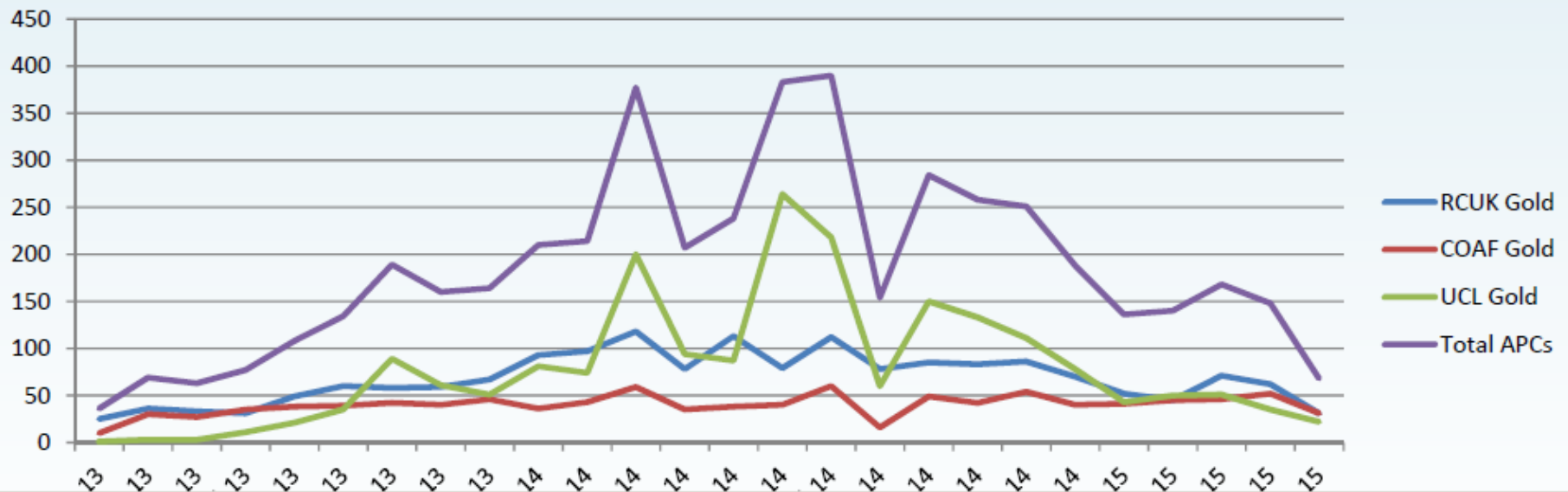- 1 extra FTE needed for every 1500 repository deposits

UCL's APC payments April 2013-July 2015

4,944 APCs paid since April 2013

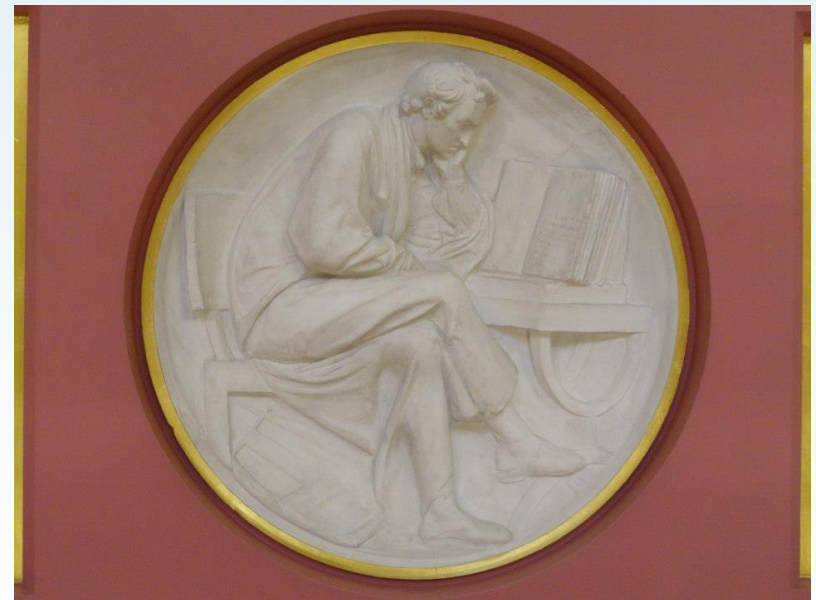RCUK: 1,823   COAF/WT: 1,106   UCL Gold: 2,059

Downloads from UCL Discovery

	Q1	Q2	Q3	Q4	Total
2015	516,951	584,026	60,468		1,161,445
2014	430,828	468,646	436,568	485,947	1,821,989
2013	334,159	343,262	320,162	379,428	1,377,011
2012	213,402	245,836	229,864	349,688	1,038,790
2011	146,748	155,152	107,601	175,464	584,965
2010	117,514	133,024	128,924	146,690	526,152

Lifetime downloads (from Feb 08):	6,996,998

Content

- ❑ UK policy position(s)
- ❑ Implementation
- ❑ OA model for Open Science?
- ❑ Conclusions
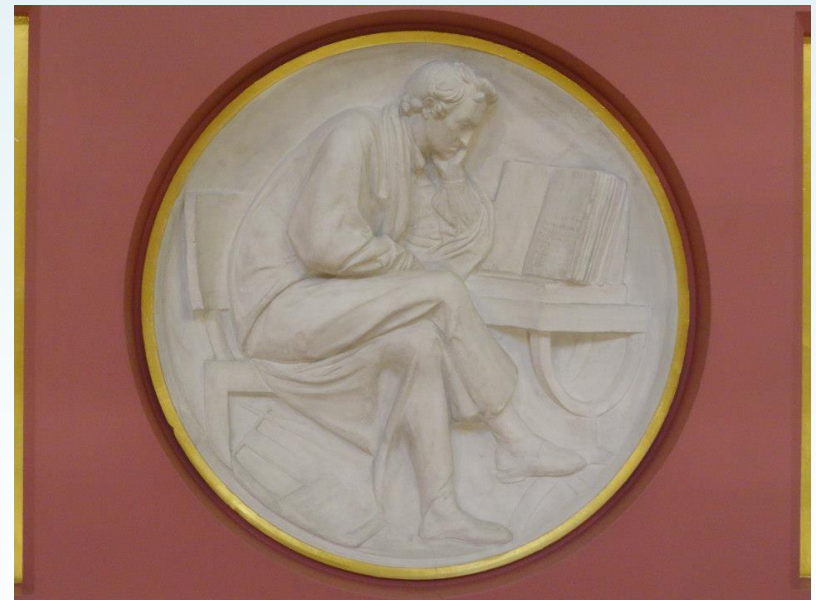
Plaster Relief by John Flaxman, Flaxman Gallery, UCL

❑ Christmas is Over – research funding should go to research, not publishers – **LERU Statement**

❑ Double-dipping a concern for those with Gold OA policies

  ❑ payment for the subscription AND APCs

❑ LERU calls for offsets of APCs against subscription costs

❑ Buy publishing services for OA publishing and for access to content

❑ Model for moving to Open Science future?

- ❑ Carlos Moedas, EU Commissioner for Research and Innovation, has commended the initiative
- ❑ Dutch have Presidency of the EU from January 2016
- ❑ 3000+ people have signed the LERU statement so far
  - ❑ http://www.leru.org/index.php/public/extra/signtheLERUstatement/
- ❑ Statement will be handed to Dutch Presidency with a call for a Summit to discuss issues going forward

Content

- ❑ UK policy position(s)
- ❑ Implementation
- ❑ OA model for Open Science?
- ❑ Conclusions

Plaster Relief by John Flaxman, Flaxman Gallery, UCL

Finally

❑ UK has several OA policy positions
  ❑ Not all the same
❑ Costs are a major issue for those with Gold OA policy positions
❑ LERU Statement seeks a community response, tackling in particular the future of Gold OA publishing
❑ Happy to hear questions